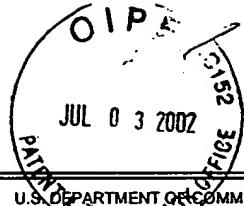




SHEET 1 OF 1

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 199866US2CRL	PATENT & TRADEMARK OFFICE		SERIAL NO. 09/713,242
LIST OF REFERENCES CITED BY APPLICANT		APPLICANT Andrew J. SHIELDS, et al.					
		FILING DATE November 16, 2000		GROUP 2877			
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
DM	AA	5,559,822	09/24/96	J. L. PANKOVE, et al.			
	AB						
	AC						
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	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION		
	AO				YES	NO	
	AP						
	AQ						
	AR						
	AS						
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	AU						
	AV						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW						
	AX						
	AY						
	AZ						
Examiner <i>DM 11-16-03</i>		<input type="checkbox"/> Additional References sheet(s) attached					
		Date Considered					

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



SHEET 1 OF 1

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 199866US2CRL	SERIAL NO. 09/713,242		
LIST OF REFERENCES CITED BY APPLICANT		APPLICANT Andrew J. SHIELDS, et al.					
		FILING DATE November 16, 2000		GROUP 2877			
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
DM	AA	5,607,876	03/04/97	D. K. BIEGELSEN, et al.			
	AB						
	AC						
	AD						
	AE						
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	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES	NO	
DM	AO	2 354 368	03/21/2001	GREAT BRITAIN			
	AP	2 215 911	09/27/89	GREAT BRITAIN			
	AQ	0 877 428	11/11/98	EUROPE			
	AR	0 875 946	11/04/98	EUROPE			
	AS	03-046289	02/27/91	JAPAN (with English Abstract)	X		
	AT	0 881 691	12/02/98	EUROPE			
	AU						
	AV						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW	J. KIM, et al., Nature, vol. 397, pages 500-503, "A SINGLE-PHOTON TURNSTILE DEVICE", February 11, 1999					
	AX	S. N. MOLOTOV, et al., JETP Lett., vol. 63, no. 8, pages 687-693, "QUANTUM CRYPTOGRAPHY BASED ON QUANTUM DOTS", April 25, 1996					
	AY	J. M. GERARD, et al., Journal of Lightwave Technology, vol. 17, no. 11, pages 2089-2095, "STRONG PURCELL EFFECT FOR InAs QUANTUM BOXES IN THREE-DIMENSIONAL SOLID-STATE MICROCAVITIES", November 1999					
	AZ	J. M. GERARD, et al., Physical Review Letters, vol. 81, no. 5, pages 1110-1113, "ENHANCED SPONTANEOUS EMISSION BY QUANTUM BOXES IN A MONOLITHIC OPTICAL MICROCAVITY", August 3, 1998					
Examiner	DM 11-16-03			Date Considered			
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							